

SUZANNE M. RIVERA, PH.D.

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CURRICULUM VITAE

FEBRUARY 2023

EDUCATION

Harvard University- Cambridge, MA

Harvard Graduate School of Education, Seminar for New Presidents, 2021

University of Texas at Dallas- Richardson, TX

Doctor of Philosophy, Public Affairs (Health Policy), 2008

Dissertation Title: *Social Inequality in Biomedical Research*

University of California-Berkeley- Berkeley, CA

Master of Social Welfare, 1993

Brown University- Providence, RI

Bachelor of Arts, American Civilization, 1991

ADMINISTRATIVE APPOINTMENTS

Macalester College- Saint Paul, MN

President, 2020- present

Case Western Reserve University- Cleveland, OH

Vice President for Research and Technology Management, 2014-2020

Associate Vice President for Research, 2010-2014

University of Texas Southwestern Medical Center- Dallas, TX

Vice President for Research Administration, 2010

Associate Vice President, Research Services, 2008-2009

Assistant Vice President, Research Services, 2005-2008

University of California-Irvine- Irvine, CA

Director of Research Protections, Office of Research Administration, 1999-2003

Associate Director, Office of Research Administration, 1998-1999

Research Education and Review Officer, Office of Research Administration, 1996-1998

ACADEMIC APPOINTMENTS

Macalester College- Saint Paul, MN

Professor of Public Affairs, 2020-present

Case Western Reserve University School of Medicine- Cleveland, OH

Associate Professor, Departments of Bioethics and Pediatrics, 2018- 2020

Assistant Professor Departments of Bioethics and Pediatrics, 2011- 2018

University of Texas Southwestern Medical School- Dallas, TX

Assistant Professor, Department of Clinical Sciences, 2008-2010

HONORS AND AWARDS

Twin Cities Business' "TCB100: Innovators and Newsmakers to Watch in 2023," 2022
State of Minnesota Proclamation declaring October 9, "Suzanne M. Rivera Day," 2021
National Council of University Research Administrators (NCURA) Distinguished Educator, 2020
Presidential Leadership Academy, Hispanic Association of Colleges and Universities (HACU), 2019
NCURA Julia Jacobsen Distinguished Service Award, 2018
NCURA/European Association of Research Managers and Administrators Fellowship, 2017
Distinguished Award for Latino Empowerment, *La Alianza* of Case Western Reserve University, 2015
Diversity Leadership Award, Case Western Reserve University, 2012
Pi Alpha Alpha (public affairs honor society), 2007
NCURA Leadership Development Institute, 2004
Alumni Distinguished Service Award, Brown University, 2001
Presidential Management Internship, U.S. Office of Personnel Management, 1993
Commencement Orator, University of California-Berkeley, 1993
Social Welfare Alumni Association Award, University of California-Berkeley, 1992
Eva P. Moar Premium, Brown University, 1991
Commencement Orator, Brown University, 1991

MEMBERSHIP IN PROFESSIONAL SOCIETIES

American Association for Advancement of Science (AAAS), 2017- present
American Society of Bioethics and Humanities (ASBH), 2011- 2020
Association of American Medical Colleges (AAMC), 2008- 2020
Association of American Universities (AAU), Senior Research Officers, 2014- 2020
Council on Governmental Relations (COGR), 2008-2020
Federal Demonstration Partnership (FDP), 2011-2014
National Association of Independent Colleges and Universities (NAICU), 2020- present
National Council of University Research Administrators (NCURA), 1998- 2020
National Organization of Research Development Professionals (NORDP), 2011- 2020
Public Responsibility in Medicine and Research (PRIM&R), 1998- present
University-Industry Demonstration Partnership (UIDP), 2014- 2020

PEER REVIEW/EDITING

Editorial Boards

Ethics & Human Research, Contributing Editor (2018-2020)
NCURA Magazine, Contributing Editor (2014)

Ad Hoc Journal Reviews

American Journal of Law and Medicine
Ethics & Human Research
Journal of Clinical and Translational Science
Journal of Empirical Research on Human Research Ethics
Journal of Immigrant and Minority Health
Risk Management and Healthcare Policy
Science and Engineering Ethics

EXTERNAL APPOINTMENTS/ PROFESSIONAL SERVICE

American Association of Colleges & Universities (AAC&U): Member, Board of Trustees (2023- present)

National Association of Independent Colleges and Universities (NAICU): Member, Committee on Policy Analysis and Public Relations (2022- present)

Underwriters Laboratories (UL): Member, Advisory Board to the Chief Research Officer (2022- present)

Association of American Universities (AAU): Member, Advisory Committee for Evaluating Effective and Equitable Teaching at Research Universities (2022-present); **Senior Advisor** on Inclusion in STEM Education (2020- present)

TeenSHARP: Member, National Advisory Board (2021-present)

Liberal Arts Colleges Racial Equity Leadership Alliance (LACRELA): **Co-Founder** and Member of **Executive Council** (2021- present)

Associated Colleges of the Midwest (ACM): Member, Board of Directors (2020-present); **Secretary/Treasurer** of Board (2022- present)

Minnesota Intercollegiate Athletic Conference (MIAC): Member, Presidents' Council (2020- present); Member, **Executive Committee** (2022-present)

Minnesota Private Colleges Council (MPCC): Member, Board of Directors (2020-present); Member, **Executive Committee** (2022- present)

Public Responsibility in Medicine and Research (PRIM&R): National Board Member (2017-present); **Chair**, Nominations & Elections Committee (2018-2020); **Vice Chair of Board** (2020); **Chair of Board** (2021)

American Association for the Advancement of Science (AAAS): Nationally-elected Member of Section K/ Social, Economic & Political Sciences (2020-2024); Scientific Freedom and Responsibility Award Selection Committee: Appointed Member and **Chair** (2011-2014)

Council on Governmental Relations (COGR): Appointed Board Committee Member (2012-2020); Appointed National Board Member (2014-2020); **Chair**, Research Ethics & Compliance Committee (2019- 2020)

Association of American Universities (AAU): Member, Strategy for Sexual Harassment and Gender Discrimination Advisory Board (2019-2020)

National Council of University Research Administrators (NCURA): Select Committee on Global Affairs, Member (2017-2018); Nominating and Leadership Development Committee, Member (2016-2017); Education Scholarship Fund, **Chair** (2014-2016); Elected Member of the National Board (2013-2014); Elected Member of the Regional Board (2006-2008)

U.S. Environmental Protection Agency's Human Studies Review Board (EPA): Appointed Member (2014-2017)

U.S. Department of Health and Human Services Secretary's Advisory Committee on Human Research Protections (SACHRP): Appointed Member (2010-2014)

TEACHING

Case Western Reserve University

1. BETH 421- Research Ethics Practicum for MA Students, 1.5 credits (Spring 2014, 2015, 2016, 2017, 2018, 2019, 2020)
2. BETH 503- Research Ethics and Regulation, 3 credits (Fall 2014)
3. BETH 315C/415C- International Bioethics: Policy and Practice, 3 credits (Fall 2013, 2015, 2016, 2017, 2019)
4. BETH 315E/415E- Cross-cultural Perspectives on Bioethics, 3 credits (Spring 2013)
5. BETH 602- Independent Study in Bioethics, 3 credits (Spring 2012)
6. BETH 315F/415F- Exploring Bioethics through Film, 3 credits (Spring 2012, 2016)
7. IBMS 500- The Responsible Conduct of Research (Spring 2011, 2012, 2013)

University of Texas Southwestern Medical School

1. DCS 5107- Regulatory and Public Policy Considerations in Research (Fall 2008, 2009, 2010)
2. MED 101001- Clinical Ethics in Medicine (2006/7, 2007/8, 2008/9)

University of California-Irvine

1. BioSci 194S- Safety and Ethics in Research (Fall 2001, 2002, 2003)
2. BioSci 30- Biomedical Ethics (Spring 2002, 2003)

GRANTS/RESEARCH SUPPORT

1. ORI/IR190051, Fostering Responsible Research Culture through Enhanced Mentoring and Leadership, **Principal Investigator** at 5% effort (Case Western Reserve University). \$147,232 total funding. (2019-2020)
2. DigitalC, Expanding Capacity for Digital Innovation in Cleveland, **Principal Investigator** (Case Western Reserve University). \$159,000 total funding. (2019-2020)
3. The Cleveland Foundation, Cleveland IoT Collaborative, **Co-Principal Investigator** (with Loparo) at 5% effort (Case Western Reserve University). \$2.2 M total funding. (2019-2020)
4. NSF 1840510, Planning Grant: Engineering Research Center for Human, Machine, and Network Functional, Symbiotic Integration On Neural Systems (Human Fusions) (PI- Tyler). **Co-Principal Investigator** (Case Western Reserve University). \$100K total funding. (2018-2019)
5. NIH/NCI 1P20 CA233216-01, Case Comprehensive Cancer Center (Case CCC) Cancer Health Disparities SPORE Planning Grant (PI- Webb Hooper), Admin. Core: **Other Significant Contributor** (Case Western Reserve University). \$3,194,947 total funding. (2018-2021)
6. NIH/NCATS UL1-TR002548: Clinical and Translational Science Collaborative of Cleveland (CTSC) (PI- Konstan), **Co-Director- Regulatory Knowledge and Support** at 5% effort (Case Western Reserve University). \$27,539,899 total funding. (2018-2023)
7. NIH/Fogarty R25-TW010507, Mbarara University Research Ethics Education Program (PIs Kiwanuka and Marshall), **Faculty** at 2% effort (Case Western Reserve University). \$38,000 total funding. (2018-2023)

8. The Cleveland Foundation, Cleveland IoT Collaborative, **Co-Principal Investigator** (with Loparo) at 5% effort (Case Western Reserve University). \$1.75M total funding. (2018-2019)
9. NIH/NHGRI 1-R01-HG008988, Addressing Ethical Challenges in Networked Biorepositories (PIs- Brothers and Goldenberg), **Co-investigator** at 5% effort (Case Western Reserve University). \$1.7M total funding. (2016-2019)
10. NIH/NHGRI 5-R01-HG005691, Advancing Collaborative Genetic Research: Ethical and Policy Challenges, **Principal Investigator** at 20% effort (Case Western Reserve University). \$1.7M total funding. (2013-2016)
11. NIH/NCATS 5-UL1-RR024982, North and Central Texas Clinical and Translational Science Initiative (PI-Packer), **Director-Regulatory Knowledge and Support** at 5% effort (UT Southwestern). \$34M total funding. (2007-2010)

BIBLIOGRAPHY/PUBLIC DISCOURSE

Articles, Peer Reviewed

1. **Rivera, S.M.** “Clinical Research from Proposal to Implementation: What Every Clinical Investigator Should Know about the Institutional Review Board,” *J Investig Med*, 56(8): 975-84, December 2008.
2. Rothwell, E., Maschke, K., Botkin, J., Goldenberg, A., Murray, T., and **Rivera, S.M.** “Biobanking Research and Human Subjects Protections: Perspectives of IRB Leaders,” *IRB: Ethics & Human Research*, 37(2):8-13, March 2015.
3. Goldenberg, A.J., Maschke, K.J., Joffe, S., Botkin, J.R., Rothwell, E., Murray, T.H., Anderson, R., Deming, N., Rosenthal, B., and **Rivera, S.M.** “IRB Practices and Policies Regarding the Secondary Research Use of Biospecimens,” *BMC Medical Ethics*, 16(1), 32, May 2015.
4. **Rivera, S.M.**, Goldenberg, A.J., Mashke, K., Joffe, S., Botkin, J.R., Rothwell, E., Anderson, R., Aungst, H. "Investigator Experiences and Attitudes about Research with Biospecimens," *Journal of Empirical Research on Human Research Ethics*, 10(5):449-456, December 2015.
5. **Rivera, S.M.**, Nichols, L., Brako, L, Croft, G, Russo, T., Phan, T. “CTSA Institution Responses to Proposed Common Rule Changes: Did They Get What They Wanted?” *Journal of Empirical Research on Human Research Ethics*, 12(2): 79-86, April 2017.
6. Nichols, L., Brako, L., **Rivera, S.M.**, Tahmassian, A., Jones, M.F., Pierce, H.H. and Bierer, B.E. “What Do Revised U.S. Rules Mean for Human Research?” *Science*, 357(6352): 650-651, August 2017.
7. Brothers, K.B., **Rivera, S.M.**, Cadigan, R.J., Sharp, R.R. and Goldenberg, A.J. “A Belmont Reboot: Building a Normative Foundation for Human Research in the 21st Century,” *The Journal of Law, Medicine & Ethics*, 47(1): 165-172, April 2019.
8. Fernandez-Lynch, H., Bateman-House, A. and **Rivera, S.M.** “Academic Advocacy: Opportunities to Influence Health and Science Policy under U.S. Lobbying Law,” *Academic Medicine*, 95(1): 13-15, January 2020. (published on-line October 2019)

Articles, Invited (Not Peer Reviewed)

1. **Rivera, S.M.** “Privacy vs. Progress: Research Exceptionalism is Bad Medicine,” *Health Matrix: Journal of Law- Medicine*, 24(1): 49-64, May 2014.
2. **Rivera, S.M.** and Brako, L. “Institutional Officials and the Price of Compliance,” *Journal of Clinical Research Best Practices*, 13(4), April 2017.
3. **Rivera, S.M.**, Brothers, K.B., Cadigan, J., Harrell, H.L., Rothstein, M.A., Sharp, R.R., Goldenberg, A.J. “Modernizing Research Regulations Is Not Enough: It’s Time to Think outside the Regulatory Box,” *American Journal of Bioethics*, 17(7):1-3, June 2017.
4. **Rivera, S.M.** “Reasonable Research Oversight: A Work in Progress,” *IRB: Ethics & Human Research*, 39(6):15-20, November-December 2017.
5. Householder, M., Solano-Lopez, A. L., Muñoz, D. and **Rivera, S.M.** “Reviving Human Research in Costa Rica,” *Ethics & Human Research*, 41(1):32-40, January-February 2019.
6. **Rivera, S.M.** “Plugging the Leaky Pipeline for Women in Research Administration Leadership,” *NCURA Magazine*, December 2019.
7. **Rivera, S.M.** “Leading through Crisis: A Rationale for Hope,” *The International Journal of Ethical Leadership*, Vol. 8, Article 17, September 2021.

Books

1. *Specimen Science: Ethics and Policy Implications*, volume **co-edited** with Barbara Bierer, I. Glenn Cohen and Holly Fernandez-Lynch, including a co-authored chapter with Heide Aungst and a co-authored chapter with Aaron Goldenberg. MIT Press, Cambridge, 2017.

Book Chapters

1. **Rivera, S.M.** and Gilman, A.G. Drug Invention and the Pharmaceutical Industry. In, *The Pharmacological Basis of Therapeutics*, 12th Edition. Brunton, L., Chabner, B. and Knollman, B., eds. The Macmillan Co., New York, 2010.
2. **Rivera, S.M.** Institutional Review Board Approval. In, *Clinical Research: from Proposal to Implementation*. McPhaul, M.J. and Toto, R.D., eds. Lippincott Williams & Wilkins, Philadelphia, 2011.
3. Clark, A., **Rivera, S.M.**, and Sheppard, D. et al. Writing Informed Consent Documents and Obtaining Informed Consent. In, *Clinical Research: from Proposal to Implementation*. McPhaul, M.J. and Toto, R.D., eds. Lippincott Williams & Wilkins, Philadelphia, 2011.
4. **Rivera, S.M.** Reconsidering Privacy Protections for Human Research. In, *Human Subjects Research Regulation: Perspectives on the Future*. Cohen, G. and Fernandez-Lynch, H., eds. MIT Press, Cambridge, 2014.
5. **Rivera, S.M.** Women in Leadership. In, *Sponsored Research Administration: A Guide to Effective Strategies and Recommended Practices*. Atlantic Information Services, Washington, DC, 2016.

6. **Rivera, S.M.** and Aungst, H. What Specimen Donors Want (and Considerations that May Sometimes Matter More). In, *Specimen Science: Ethics and Policy Implications*, volume co-edited with Barbara Bierer, I. Glenn Cohen and Holly Fernandez-Lynch. MIT Press, Cambridge, 2017.
7. Goldenberg, A. and **Rivera, S.M.** Biospecimen Repositories and Precision Medicine: Implications for Underserved and Vulnerable Populations. In, *Specimen Science: Ethics and Policy Implications*, volume co-edited with Barbara Bierer, I. Glenn Cohen and Holly Fernandez-Lynch. MIT Press, Cambridge, 2017.
8. **Rivera, S.M.** and Gilman, A.G. Drug Invention and the Pharmaceutical Industry. In, *The Pharmacological Basis of Therapeutics*, 13th Edition. Brunton, L.L., Hilal-Dandan, R. and Knollmann, B.C., eds. The Macmillan Co., New York, 2017.
9. **Rivera, S.M.** and Goldenberg, A.G. Ethical and Regulatory Considerations Regarding Data Repositories, Data Sharing, and Secondary Use. In, *Institutional Review Board Management and Function*, 3rd Edition. Jones & Bartlett, Sudbury, 2021.
10. **Rivera, S.M.** Foreword: Reflections on Belmont at 40. In *Institutional Review Board Management and Function*, 3rd Edition. Jones & Bartlett, Sudbury, 2021.

Posters & Abstracts

1. Lamm, A., Deming, N. and **S.M. Rivera**. “Bioethics and Cultural Competence Education for IRBs for Jointly Reviewed Protocols at International Study Sites,” presented at the Advancing Ethical Research Conference of PRIM&R. 2013
2. Aungst, H. and **S.M. Rivera**. “The Matrix: Going Beyond the Traditional Lit Review. Creating a New Type of Dynamic Literature Database to Understand the Motivations, Expectations, and Concerns of Biobanking Donors,” presented at the annual meeting of the ASBH. 2015
3. Brothers, K.B., Cadigan, J., **Rivera, S.M.**, Rothstein, M.A., Sharp, R., and Goldenberg A.J. “Neglected Ethical Issues in Biobanking: Funding, Stewardship, and Utilization,” *Journal of Empirical Research on Human Research Ethics*, 16(3): NP2-NP2, 2021.

Other Media

1. Bill of Health Science Policy Blog, Guest Blogger Series, 2012-2013:
<http://blogs.law.harvard.edu/billofhealth/>
2. *Managing Externally Funded Sponsored Programs: A Guide to Effective Management Practices*, co-authored with the Research Compliance and Administration Committee of the Council on Governmental Relations, March, 2016:
<https://www.cogr.edu/COGR/files/ccLibraryFiles/Filename/000000000316/Effective%20Practices%20January%202016.pdf>
3. Panelist on “Sound of Ideas” radio program, WCPN Cleveland, January 31, 2017:
<http://www.ideastream.org/programs/sound-of-ideas/immigration-order-impact-right-to-try-law-ohio-budget-proposal>

4. Op-ed piece in *Cleveland Plain Dealer*, “March for Science Supports Research for All Our Futures,” April 14, 2017: http://www.cleveland.com/opinion/index.ssf/2017/04/marching_in_cleveland_to_supp.html
5. Interview on “Ideastream,” television program, WVIZ Cleveland, November 1, 2017: <http://wviz.ideastream.org/programs/ideas/cwru-research-commercialization-middle-cities-issue-2>
6. Op-ed piece with Nicholas Zingale in *Crain’s Cleveland Business*, “Taking a Smart Approach to Our Vast Pool of Info,” published online June 10, 2018: <http://www.craincleveland.com/article/20180610/news/164501/personal-view-taking-smart-approach-our-vast-pool-info>
7. Panelist on “Sound of Ideas” radio program, WCPN Cleveland, May 30, 2019: <https://www.ideastream.org/programs/sound-of-ideas/ohio-motion-picture-tax-credit-increasing-diversity-in-medical-research-angie-haze>
8. Guest column in *Education Technology Insights*, “Expanding Human Capability with IT,” published online, July 8, 2019: <https://artificial-intelligence.educationtechnologyinsights.com/exoinsights/expanding-human-capability-with-it-nid-679.html>
9. Letter to Counsel for the *Flores* Class, expressing concern regarding the inhumane treatment of children being detained at U.S. border patrol facilities, co-authored with Lynch HF, Joffe S, Lantos J, Moreno J, Sisti D, Spector-Bagdady, and signed by over 800 bioethicists, June 25, 2019: https://docs.google.com/forms/d/e/1FAIpQLSeOP6-OjchHF2D7O-uIcpvautL75AknRZ_tehla87DUvMJxUg/viewform
10. Public Comment letter to the US Food and Drug Administration in response to proposed new guidance, “*Enhancing the Diversity of Clinical Trial Populations—Eligibility Criteria, Enrollment Practices, and Trial Designs Guidance for Industry*,” written with the Diversity in Clinical Trials Workgroup of the Multi-Regional Clinical Trials Center of Brigham and Women’s Hospital and Harvard, July 30, 2019: <https://mrctcenter.org/wp-content/uploads/2019/07/2019-07-30-MRCT-Response-to-FDA-Diversity-Guidance-Final.pdf>
11. *AM Rounds* blog post, “What Should Academics Know about Lobbying Law?,” written with H. Fernandez Lynch and A. Bateman-House, January 14, 2020: <http://academicmedicineblog.org/what-should-academics-know-about-lobbying-law/>
12. Interview on “Morning Edition” radio program, Minnesota Public Radio, August 19, 2020: <https://www.mprnews.org/story/2020/08/19/macalester-president-on-why-to-reopen-this-fall-community-is-a-part-of-the-experience>
13. Guest column in *Minnesota Post*, “Why college now: the transformative power of education,” published online October 22, 2020: <https://www.minnpost.com/community-voices/2020/10/why-college-now-the-transformative-power-of-education/>
14. Panelist on “MPR News with Angela Davis” radio program, Minnesota Public Radio, January 21, 2021: <https://www.mprnews.org/episode/2021/01/21/davis-can-a-bidenharris-administration-deliver-unity>
15. Interview in *Higher Ed Jobs*, “One Woman’s Journey in Higher Ed Leadership and Her Advice for Others,” published online March 26, 2021: <https://www.higheredjobs.com/HigherEdCareers/interviews.cfm?ID=2646&>

16. Op-ed piece with G. Gabrielle Starr in *Inside Higher Ed*, “Colleges Can Teach How to Open Eyes and Ears,” published online April 13, 2021: <https://www.insidehighered.com/views/2021/04/13/higher-ed-must-help-people-understand-chauvin-trial-and-its-implications-opinion>
17. Interview on “Morning Edition” radio program, Minnesota Public Radio, April 29, 2021: <https://www.mprnews.org/story/2021/04/28/macalester-joins-growing-list-of-us-colleges-and-universities-to-require-covid19-vaccination>
18. Guest column in *Minnesota Post*, “An increase in Pell Grants must be part of any final budget deal,” published online October 15, 2021: <https://www.minnpost.com/community-voices/2021/10/an-increase-in-pell-grants-must-be-part-of-any-final-budget-deal/?hilite=Rivera>
19. Interview on “The Visible Voices” podcast, Episode 59, published online December 10, 2021: https://www.thevisiblevoicespodcast.com/episodes/wI8vZpxnK-37A9v7g_vJ2g
20. Op-ed piece in *The Hechinger Report*, “When debating student loan debt, keep college access in mind as the end goal,” published online August 24, 2022: <https://hechingerreport.org/opinion-when-debating-student-loan-debt-keep-college-access-in-mind-as-the-end-goal/>
21. Op-ed piece in the Star Tribune, “Colleges Can Have Both Freedom of Expression and Compassion,” published online February 16, 2023: <https://www.startribune.com/counterpoint-colleges-can-have-both-freedom-of-expression-compassion/600252282/>

VOLUNTEER SERVICE/CIVIC ENGAGEMENT

Member, Minnesota Women’s Economic Roundtable, 2022- present

Member, Minnesota Women’s Forum, 2022- present

Appointed Member, Executive Council, Minnesota Young Women’s Initiative, 2021- present

Member, Board of Directors, College Possible, 2021- present

Member, Board of Directors, Science Museum of Minnesota, 2021- present

Appointed Member, Community First Public Safety Commission, City of Saint Paul, 2020-2021

Mentor, The Women's Launch Pad, Brown University’s Women's Leadership Council, 2018-present

Member, President’s Advisory Committee to the Dean of the College, Brown University, 2016-2020

Member, Head’s Council, Laurel School (independent K-12 school for girls), 2015-2017

Member, Board of Directors, Esperanza, Inc. (scholarships for Latino youth in Cleveland), 2014-2020
Chair of Development Committee, 2016-2020; Mentor to college student, 2017-2019; **First Vice President** of the Board, 2018-2020

Member, Board of Directors, FrontLine Service, Inc. (homelessness and suicide prevention), 2011-2017